

PATENT



#10  
Application Serial No. 09/045,036  
Attorney Docket No.: 97-558

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WALKER et al.

Serial No.: 09/045,036

Filing Date: March 20, 1998

For: METHOD AND APPARATUS FOR  
FACILITATING THE PLAY OF  
FRACTIONAL LOTTERY TICKETS  
UTILIZING POINT-OF-SALE  
TERMINALS

) Examiner: J. Young

) Group Art Unit: 2162

) Attorney Docket No: 97-558

) Customer No.: 22927

) Notification of Fee Status Change

) Pursuant to 37 CFR § 1.27



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APR 03 2002

Technology Center 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

ASSERTION OF SMALL ENTITY STATUS

Dear Sir:

The assignee of the above-identified application no longer claims large entity status. This notification is made pursuant to 37 CFR §1.27.

If the Examiner has any questions about this status change, the Examiner is invited to contact the undersigned at (203) 461-7337. While no fees are believed due in connection with this paper, please charge any fees that may be required for this paper, or with any other papers filed in connection with this application to Deposit Account No. 50-0271.

March 27, 2002  
Date

Respectfully submitted,

Dean P. Alderucci  
Attorney for Applicants  
Registration No. 40,484  
Walker Digital, LLC  
Five High Ridge Park  
Stamford, CT 06905-1326  
(203) 461-7337 /phone  
(203) 461-7300 /fax  
Alderucci@walkerdigital.com

**COMBINED AMENDMENT & PETITION FOR EXTENSION OF  
TIME UNDER 37 CFR 1.136(a) (Small Entity)**

Docket No.  
97-558

In Re Application of JAY S. WALKER et al.

Serial No.  
09/045,036

Filing Date  
March 20, 1998

Examiner  
J. Young

Group Art Unit  
2162

Invention:

**METHOD AND APPARATUS FOR FACILITATING THE PLAY OF FRACTIONAL LOTTERY TICKETS  
UTILIZING POINT-OF-SALE TERMINALS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a combined amendment and petition under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of September 27, 2001 in the above-identified application.  
*Date*

The requested extension is as follows (check time period desired):

☐ One month    ☐ Two months    ☒ Three months    ☐ Four months    ☐ Five months

from: December 27, 2001

*Date*

until: March 27, 2002

*Date*

A verified statement of small entity status as a small entity under 37 CFR 1.27:

- ☒ is enclosed.  
☐ has already been filed in this application.

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**Technology Center 2100**

The fee for the amendment and extension of time has been calculated as shown below:

**CLAIMS AS AMENDED**

|  | CLAIMS REMAINING<br>AFTER AMENDMENT | HIGHEST #<br>PREV. PAID FOR | NUMBER EXTRA<br>CLAIMS PRESENT | RATE      | ADDITIONAL<br>FEE |
|--|-------------------------------------|-----------------------------|--------------------------------|-----------|-------------------|
| TOTAL CLAIMS   | 36 -                                | 36 =                        | 0                              | x \$9.00  | \$0.00            |
| INDEP. CLAIMS  | 12 -                                | 12 =                        | 0                              | x \$42.00 | \$0.00            |
| FEE FOR AMENDMENT                                    |                                     |                             |                                |           | \$0.00            |
| FEE FOR EXTENSION OF TIME                            |                                     |                             |                                |           | \$460.00          |
| <b>TOTAL FEE FOR AMENDMENT AND EXTENSION OF TIME</b> |                                     |                             |                                |           | <b>\$460.00</b>   |

**COMBINED AMENDMENT & PETITION FOR EXTENSION OF  
TIME UNDER 37 CFR 1.136(a) (Small Entity)**

Docket No.  
97-558

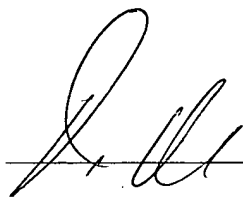
The fee for the amendment and extension of time is to be paid as follows:

- ☐ A check in the amount of **\$460.00** for the amendment and extension of time is enclosed.
- ☒ Please charge Deposit Account No. **50-0271** in the amount of **\$460.00**  
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-0271**  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0271** A duplicate copy of this sheet is enclosed.

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**APR 03 2002**

**Technology Center 2100**



*Signature*

Dated: **March 27, 2002**

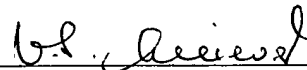
**Dean P. Alderucci**  
**Attorney for Applicants**  
**PTO Registration No. 40,484**  
**203.461.7337/phone**  
**203.461.7300/fax**

**Customer No. 22927**

**Alderucci@walkerdigital.com**

CC:

I certify that this document and fee is being deposited  
**March 27, 2002** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.



*Signature of Person Mailing Correspondence*

**Veronika S. Leliever**

*Typed or Printed Name of Person Mailing Correspondence*

**COMBINED AMENDMENT & PETITION FOR EXTENSION OF  
TIME UNDER 37 CFR 1.136(a) (Small Entity)**

Docket No.  
97-558

In Re Application of: **JAY S. WALKER et al.**

Serial No.  
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Filing Date  
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Examiner  
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Group Art Unit  
2162

Invention:

**METHOD AND APPARATUS FOR FACILITATING THE PLAY OF FRACTIONAL LOTTERY TICKETS  
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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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**Technology Center 2100**

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**CLAIMS AS AMENDED**

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|---|-------------------------------------|-----------------------------|--------------------------------|-----------|-------------------|
| TOTAL CLAIMS                                  | 36 -                                | 36 =                        | 0                              | x \$9.00  | \$0.00            |
| INDEP. CLAIMS                                 | 12 -                                | 12 =                        | 0                              | x \$42.00 | \$0.00            |
| FEE FOR AMENDMENT                             |                                     |                             |                                |           | \$0.00            |
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| TOTAL FEE FOR AMENDMENT AND EXTENSION OF TIME |                                     |                             |                                |           | \$460.00          |

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**Attorney for Applicants**  
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**203.461.7337/phone**  
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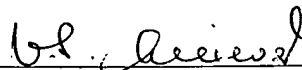
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**Veronika S. Leliever**

*Typed or Printed Name of Person Mailing Correspondence*

2162

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
97-558

In Re Application Of: **JAY S. WALKER et al.**

Serial No.  
09/045,036

Filing Date  
March 20, 1998

Examiner  
J. Young

Group Art Unit  
2162

Title:

**METHOD AND APPARATUS FOR FACILITATING THE PLAY OF FRACTIONAL LOTTERY TICKETS  
UTILIZING POINT-OF-SALE TERMINALS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:


Transmitted herewith is:

Assertion of Small Entity Status, 1 pg.;  
Combined Amendment & Petition for Extension of Time Under 37 CFR 1.136(a), 2 pp., (x2);  
Amendment and Response to the Non-final Office Action, 9 pp.; and  
Return Receipt Postcard.

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**Technology Center 2100**

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$460.00**
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: **March 27, 2002**

**Dean P. Alderucci**  
**Attorney for Applicants**  
**PTO Registration No. 40,484**  
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